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TO: Commissioner for Patents  
Attn: Examiner To be assigned  
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Alexandria, VA 22313-1450

FROM: George H. Gates  
OUR REF.: G&C 30794.108-US-WO  
TELEPHONE: (310) 642-4146

Total pages, including cover letter: 6

PTO FAX NUMBER: **571-273-8300**

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Title of Document Transmitted:	TRANSMITTAL SHEETS, REQUEST FOR CORRECTED FILING RECEIPT WITH COPY OF FILING RECEIPT.
Applicant:	Tetsuo Fujii et al.
Serial No.:	10/581,940
Filed:	June 7, 2006
Group Art Unit:	2828
Title:	HIGHLY EFFICIENT (B,Al,Ga,In)N BASED LIGHT EMITTING DIODES VIA SURFACE ROUGHENING
Our Ref. No.:	G&C 30794.108-US-WO

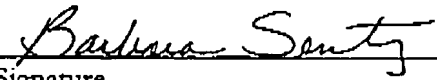
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By: 

Name: George H. Gates

Reg. No.: 33,500

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July 13, 2007  
Date

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G&C 30794.108-US-WO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**RECEIVED  
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ROUGHENING

**CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on July 13, 2007.

By: GHG  
Name: George H. Gates

Office of Initial Patent Examination  
Customer Service Center  
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Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
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Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

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Customer Number 22462  
GATES & COOPER LLP  
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6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

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I hereby certify that this correspondence is being deposited by facsimile transmission with the U.S. Patent and Trademark Office on July 13, 2007.

By: [Signature]  
Name: George H. Gates

**REQUEST FOR CORRECTED FILING RECEIPT**

Attn: Office of Initial Patent Examination  
Customer Service Center  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is a photocopy of the filing receipt from the U.S. Patent and Trademark Office in the above-identified application showing requested corrections. The filing receipt is erroneous in the following respects as reflected in the papers originally filed on June 7, 2006.

The corrections are shown in **Bold** and should read:

The filing date of the application should be: **06/07/2006**.

Title of application should read: **HIGHLY EFFICIENT (B,Al,Ga,In)N BASED LIGHT  
EMITTING DIODES VIA SURFACE ROUGHENING** – the title change was made in the  
“Amendment Before the International Preliminary Examining Authority” at the time the application  
was filed on June 7, 2006.

Correction of the records of the U.S. Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

Respectfully submitted,

GATES & COOPER LLP  
Attorneys for Applicant(s)

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045  
(310) 641-8797

Date: July 13, 2007

By: GHG  
Name: George H. Gates  
Reg. No.: 33,500»  
GHG/bjs



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/581,940	06/05/2006	2828	900	30794.108-US-WO	26	3

→ should be  
 06/07/06

CONFIRMATION NO. 1440

## FILING RECEIPT

22462  
 GATES & COOPER LLP  
 HOWARD HUGHES CENTER  
 6701 CENTER DRIVE WEST, SUITE 1050  
 LOS ANGELES, CA90045

Date Mailed: 03/07/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Tetsuo Fujii, Santa Barbara, CA;  
 Yan Gao, Santa Barbara, CA;  
 Evelyn L. Hu, Santa Barbara, CA;  
 Shuji Nakamura, Santa Barbara, CA;

Power of Attorney: The patent practitioners associated with Customer Number 22462

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US03/39211 12/09/2003

## Foreign Applications

If Required, Foreign Filing License Granted: 02/16/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is  
**US10/581,940**

Projected Publication Date: 05/31/2007

Non-Publication Request: No

Early Publication Request: No

## Title

Highly efficient gallium nitride based light emitting diodes via surface roughening

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JUL 3 2 2567

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